**Complaints Management Procedure**

# Purpose

Rights Information and Advocacy Centre (RIAC) is committed to ensuring that any person or organisation using our services or affected by its operations has the right to lodge a complaint or to appeal a decision of the organisation and to have their concerns addressed in ways that ensure access and equity, fairness, accountability and transparency, without fear of retribution.

The organisation will provide a complaints and appeals management procedure that:

* is simple and easy to use;
* is effectively communicated and promoted to all clients and stakeholders;
* ensures complaints or appeals are fairly assessed and responded to promptly;
* is procedurally fair and follows principles of natural justice; and
* Complies with legislative requirements.

# Scope

This procedure applies to all eligible RIAC Personnel.

# Responsibilities

**All Staff** have a responsibility to operate in accordance with the organisational Policies and Procedures.

# Procedure

**PRINCIPLES**

RIAC will:

* consider all complaints it receives;
* treat all complainants with respect, recognising that the issue of complaint is important to the complainant;
* maintain confidentiality of parties involved, keeping any information private to those directly involved in the complaint and its resolution;
* ensure support is available to clients who make a complaint and require support;
* resolve complaints, where possible, to the satisfaction of the complainant;
* deal with all complaints in a timely manner;
* keep parties to the complaint informed of progress of the complaint;
* ensure that Board members, staff and volunteers are given information about the complaints procedure as part of their induction and are aware of procedures for managing client feedback and complaints;
* ensure all service users, stakeholders and members are aware of the complaints procedures;
* ensure that a complainant is not penalised in any way or prevented from use of services during the progress of an issue; and
* ensure that feedback data (both positive and negative) is considered in organisational reviews and in planning service improvements.

**PROCEDURES**

**Information for clients and stakeholders**

RIAC complaints and appeals procedure will be documented for clients and stakeholders on our website and a copy of the complaints brochure will be provided to all clients when they commence on the program.

All clients will be informed of their rights and responsibilities with regards to complaints and appeals at the earliest possible stage of their involvement with the organisation.

The RIAC Complaints Brochure will contain information on the following:

* how to make a complaint.
* the contact person for lodging a complaint.
* how the organisation will deal with the complaint, the steps involved and the timelines;
* the rights of the complainant to an advocate, support person or interpreter;
* how the person will be informed about the outcome of their complaint; and
* how to make a complaint to an external body including contact details.

The information will also be discussed with clients to ensure they understand and a copy of the translated version can be accessed, if required.

**Making a complaint**

A person wishing to make a complaint may do so in writing or verbally to:

* The Quality Assurance Manager

If the complaint is about the Quality Assurance Manager, the complaint will be directed to the CEO.

Written complaints may be sent to PO Box 1763, Shepparton VIC 3630 or via a form on our website: <https://riac.org.au/feedback/>

The Quality Assurance Manager will be responsible for receiving this correspondence and directing it to the appropriate person.

**Procedure for complaints and appeals management**

The person managing the complaint will be responsible for:

1. Processing the complaint
* registering the complaint or appeal in the complaints register; and
* informing the complainant that their complaint has been received and providing them with information about the process of the complaint.
1. Investigating the complaint
* examining the complaint when received;
* investigating the complaint and deciding how to respond; and
* informing the complainant by letter/email within 5 working days of the complaint being received of what is being done to investigate and resolve, and the expected time frame for resolution.
1. Resolving the complaint:
* making a decision and determine whether the decision is:
* upheld (and if so what will be done to resolve it)
* resolved (and how this has been achieved); or
* if no further action can be taken, the reasons for this.
* Informing the complainant of any options for further action if required.
1. External agency:

If the complainant is not satisfied with theinvestigation and proposed resolution of their complaint, information on external complaints organisations are included in the complaints flowchart and Easy Read complaints brochure which is provided to clients with their Welcome pack.

**Record keeping**

A register of complaints and appeals will be kept in the Grievance register on EnableHR. The register will be maintained by the Quality Assurance Manager and will record the following for each complaint or appeal:

* Details of the complainant and the nature of the complaint;
* Date lodged;
* Action taken;
* Date of resolution and reason for decision;
* Indication of complainant being notified of outcome; and
* Complainant response and any further action

Copies of all correspondence will be kept on EnableHR.

The complaints register and files will be confidential, and access is restricted to the CEO and Senior Management staff.

The Quality Assurance Manager and the CEO will be responsible for preparing a report on the number and variety of complaints for the Board of Management.

Results from this report will be reviewed by the CEO and the Board of Management and used to:

* Review complaints monthly at the Executive Team meetings for discussion to inform service planning, decision making by including a review of complaints and appeals in all service planning, monitoring and evaluation activities.

Any disciplinary action against a staff member or volunteer arising from a complaint will be taken in accordance with the Organisation’s disciplinary procedures.

Complaints involving the Management Team will be managed by the CEO.

**Complaints involving CEO or Board of Management Committee members**

Complaints made against the CEO or Board Members will be referred to the Board Chair.

The Chair, or their delegate, will:

* notify the person about whom a complaint is being made of the complaint and its nature;
* investigate the complaint and provide the member with an opportunity to respond to any issues raised; and
* attempt to mediate the dispute (if appropriate) and/or attempt to resolve the matter to the satisfaction of the outside party.

Where the Chair is the subject of a complaint, the complaint should be referred to the Deputy Chair.

If the matter remains unresolved, the Chair or Deputy Chair will raise the matter at the next Board meeting.

# Documentation

Complaints EasyRead

Complaints Flowchart

**Related documents**

Privacy and Confidentiality Policy

# Resources

Privacy Act 1988 (Commonwealth)

Privacy and Data Protection Act 2014 (Vic)

Freedom of Information Act 1982 (Commonwealth)

Aged Care Safety and Quality Commission Act 2018 (Commonwealth)

Aged Care Quality and Safety Commission Rules 2018 [www.agedcarequality.gov.au](http://www.agedcarequality.gov.au)

NDIS Quality and Safeguards Commission <https://www.ndiscommission.gov.au/about/start-dates/vic>

**The Victorian Disability Worker Commission**

[vdwc.vic.gov.au](file:///C%3A/Users/amanda/Downloads/vdwc.vic.gov.au)

1800 497 132

GPO Box 181, Melbourne 3001.

They can arrange interpreters, including Auslan interpreters and arrange for you to meet face to face.

**Mental Health Complaints Commissioner**

Telephone: 1800 246 054 (free call from landlines) or 03 9032 3328
Email: info@mhcc.vic.gov.au
Website: [mhcc.vic.gov](http://www.mhcc.vic.gov.au/)

**Contacts regarding the use of Restrictive Practices**

Telephone: Senior Practitioner Disability 03 9096 8427
Email: RIquestions@dhhs.vic.gov.au

**NDIS Guide to Suitability**

Website: [providertoolkit.ndis.gov.au/key-resources](https://providertoolkit.ndis.gov.au/key-resources)

**Ombudsman Victoria**

Telephone: 1800 806 314
Website: [ombudsman.vic.gov.au](https://www.ombudsman.vic.gov.au/)

**Consumer Affairs Victoria**

Telephone: 1300 55 81 81
Website: [consumer.vic.gov.au](https://www.consumer.vic.gov.au/)

**Commonwealth Ombudsman**

Telephone: 1300 362 072
Email: ombudsman@ombudsman.gov.au
Website: [ombudsman.gov.au](http://www.ombudsman.gov.au/)

|  |  |
| --- | --- |
| **Code:** | QP-CMP |
| **Version:** | 7 |
| **Created by:** | Quality Assurance Manager |
| **Approved by:** | CEO |
| **Date of version:** | March 2022 |
| **Review Date\*:** | March 2023 |

\*unless otherwise indicated, this policy/procedure/work instruction will still apply beyond the review date